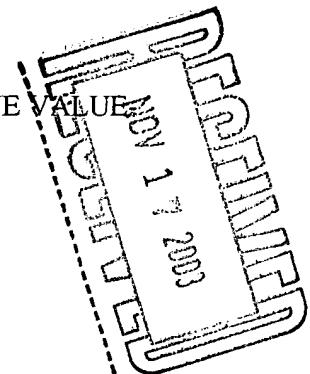


2161

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Craig L. Ogg  
Application No. : 09/690,796  
Filed : October 17, 2000  
Title : SECURE AND RECOVERABLE DATABASE FOR ON-LINE VALUE BEARING ITEM SYSTEM  
Grp./Div. : 2161  
Examiner : To Be Assigned  
Docket No. : 39477/RRT/S850

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
October 29, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

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Enclosures: PTO/SB/08A/B, w/references  
CLV PAS533459.1-\* 10/29/03 11:51 AM

By   
Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.  
10/29/03  
(Date of Deposit)

<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>	Attorney Docket Number	39477/RRT/S850
	Application Number	09/690,796
	Filing Date	October 17, 2000
	Applicant(s)	Craig L. Ogg
	Group Art Unit	2161
	Examiner Name	To Be Assigned

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,837,702	06-06-1989	OBREA
		5,671,146	09-23-1997	WINDEL, et al.
		5,917,924	06-29-1999	HERBERT
		6,005,945	12-21-1999	WHITEHOUSE
		6,415,983 B1	07-09-2002	ULVR, et al.
		6,567,794 B1	05-20-2003	CORDERY, et al.

FOREIGN PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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**GROUP 3600**

CLV PAS533455.1-\* 10/29/03 2:21 PM

EXAMINER SIGNATURE	DATE CONSIDERED
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.</p>	

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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